

CUNY Export Control Preliminary Evaluation Form

CUNY Export Control Overview and list of Export Control Administrators are available on the Research Compliance web site at <http://www.cuny.edu/research/compliance/Export-Control.html>.

1. Please answer the following questions, using the CUNY Export Compliance Overview as a guide, to perform a preliminary evaluation of whether a project may be subject to export control regulations; in making this evaluation, be sure to consider whether any of the issues mentioned below are addressed in the related grant, contract, MTA, MOU or NDA .

| | Yes | No |
|---|-----------------------|-----------------------|
| Project documents mention U.S. export control regulations | <input type="radio"/> | <input type="radio"/> |
| Sponsor restricts non-US entity participation | <input type="radio"/> | <input type="radio"/> |
| Sponsor prohibits access by non-US persons to project information | <input type="radio"/> | <input type="radio"/> |
| Sponsor prohibits the hiring of non-US persons | <input type="radio"/> | <input type="radio"/> |
| Project involves international transfer of controlled items or data | <input type="radio"/> | <input type="radio"/> |
| Sponsor claims that the results are proprietary | <input type="radio"/> | <input type="radio"/> |
| You expect the physical export of controlled items or information | <input type="radio"/> | <input type="radio"/> |
| You expect to travel internationally as part of your responsibilities for the project | <input type="radio"/> | <input type="radio"/> |
| You expect digital or electronic transmission of controlled data to a foreign destination | <input type="radio"/> | <input type="radio"/> |
| Sponsor requires publication restrictions for reasons other than patent filing or proprietary information | <input type="radio"/> | <input type="radio"/> |
| Project documents indicate that export-controlled information or technology will be furnished for use in the project | <input type="radio"/> | <input type="radio"/> |
| Project will involve use of advanced cryptographic technology including access to source code for cryptography and/or technical data to develop the source code | <input type="radio"/> | <input type="radio"/> |

If you have checked yes to any of the above, please contact your College's Export Control Administrator for additional review and evaluation.